1	ROBERT K. CARROL, State Bar No. 81277					
2	rcarrol@nixonpeabody.com BRUCE E. COPELAND, State Bar No. 124888					
3	bcopeland@nixonpeabody.com SHADY E. JOULANI, State Bar No. 287910					
4	sjoulani@nixonpeabody.com NIXON PEABODY LLP					
5	One Embarcadero Center, 18th Floor San Francisco, CA 94111-3600					
6	Tel: 415-984-8200 Fax: 415-984-8300					
7	DEANNA R. KUNZE (née Swits) IL #6287513					
8	(pro hac vice pending) dkunze@nixonpeabody.com					
9	NIXON PEABODY LLP 300 S. Riverside Plaza, 16th Floor					
10	Chicago, IL 60606 Tel: 312-425-3900					
11	Fax: 312-425-3909					
12	Attorneys for Plaintiff THE LAGUNITAS BREWING CO.					
13						
14	UNITED STATES DISTRICT COURT					
15	NORTHERN DISTRICT OF CALIFORNIA					
16						
17	THE LAGUNITAS BREWING CO.,	Case No				
18	Plaintiff,	COMPLAINT AND DEMAND FOR JURY TRIAL				
19	VS.	IMAL				
20	SIERRA NEVADA BREWING CO.,					
21	Defendant.					
22						
23	Plaintiff The Lagunitas Brewing Company ("Lagunitas") complains and alleges as follows					
24	against Defendant Sierra Nevada Brewing Company ("Sierra Nevada").					
25	THE NATURE OF THE ACTION					
26	1. This is an action arising under	er 15 U.S.C. § 1114 for federal trademark				
27	infringement and including state law claims over which the court has supplemental jurisdiction					
28	because they arise out of the same case or controversy. The state law claims include common law					
	COMPLAINT AND DEMAND FOR JURY TRIAL					

trademark infringement, unfair business practices under California Business and Professions Code § 17200, et seq., and common law unjust enrichment.

### THE PARTIES

- 2. The Lagunitas Brewing Company is a California corporation with its principal place of business at 1280 N. McDowell, Petaluma, California 94954.
- 3. On information and belief, Sierra Nevada Brewing Company is a California corporation with its principal place of business at 1075 East 20th Street, Chico, California 95928.

#### **JURISDICTION AND VENUE**

- 4. This Court has subject matter jurisdiction under 15 U.S.C. § 1121 (action arising under the Lanham Act); 28 U.S.C. § 1331 (federal question); 28 U.S.C. § 1338(a) (any Act of Congress relating to patents or trademarks); 28 U.S.C. § 1338(b) (action asserting claim of unfair competition joined with a substantial and related claim under the trademark laws); and 28 U.S.C. § 1367 (supplemental jurisdiction).
- 5. This Court has personal jurisdiction over Sierra Nevada because it has committed or will commit acts of infringement in violation of 15 U.S.C. § 1114 and, on information and belief, has or will place infringing products into the stream of commerce, with the knowledge or understanding that such products will be sold in the State of California, including in this District. The acts by Sierra Nevada will cause injury to Lagunitas within this District. Upon information and belief, Sierra Nevada has sold or intends to sell its infringing products within this District, expects its actions to have consequences within this District, and derives substantial revenue from interstate commerce.
- 6. Venue is proper within this District under 28 U.S.C. §§ 1391(b) and (c) because Sierra Nevada transacts business within this district and has or intends to offer for sale in this district products that infringe the Lagunitas registered trademarks. In addition, venue is proper because Lagunitas' principal place of business is in this district and Lagunitas will suffer harm in this district. Moreover, a substantial part of the events giving rise to the claim occurred in this

district. Pursuant to Local Rule 3-2(c), Intellectual Property Actions are assigned on a district-wide basis.

#### FACTUAL BACKGROUND

7. Founded in 1993, Lagunitas has become one of the fastest growing craft breweries in the United States. Based in Petaluma, California, Lagunitas began by distributing its products in California. By 1997, Lagunitas was distributing its flagship Lagunitas IPA in Maryland and Oregon as well. Today, Lagunitas distributes its craft beers, including its flagship Lagunitas IPA, in 44 states and three other countries and recently opened a second production facility in Chicago, Illinois.

### The Lagunitas IPA and Its Iconic "IPA" Family of Trademarks

 8. Lagunitas consistently produces top-quality, critically-heralded beers, and, while it produces a variety of beers, Lagunitas is most-recognized for its ales. The most notable, widely-recognized, and longest-selling of these ales is the Lagunitas IPA, an India Pale Ale. Marketed and sold using the large, all-capital, bold, black, centralized "IPA" lettering in its labels, packaging, and family of trademarks, as shown below:



Figure 1.

Figure 2.

9. When Lagunitas began selling its now iconic IPA beer in 1995, there existed only a handful of other brewers who produced an India Pale Ale, and, on information and belief, no other company had marketed or sold its India Pale Ale using the acronym "IPA." Instead, brewers focused their brand identities around visual cues involving either sea travel or themes representative of the culture of India.

- 10. The founder and current Chief Executive Officer of Lagunitas, Tony Magee, followed a different path for the Company's flagship beer and designed the labels to prominently feature the acronym "IPA." Since then, the Lagunitas IPA has become the Company's flagship beer. It is available year-round and has been the top-selling India Pale Ale in California for the past decade—and one of the best-selling India Pale Ales in the nation.
- 11. While other brewers have adopted the shorthand parlance of "IPA" to market their India Pale Ales, only Lagunitas is identified with the large, bold, black, centralized "IPA" lettering shown in Figures 1 and 2 above. In addition to its distinguishable IPA beer, much of the success of the Lagunitas IPA can be attributed to its iconic "IPA" family of trademarks. The Lagunitas "IPA" trademarks have become well-known and well-recognized in the craft brew industry specifically, and in the alcoholic beverage industry, generally. Consumers of beer and other alcoholic beverages recognize the Lagunitas "IPA" Family of Trademarks as signifying the quality of India Pale Ale that they have come to associate with the Lagunitas IPA exclusively.
- 12. Indeed, Lagunitas has invested substantial amounts of time and millions of dollars in promoting the Lagunitas IPA with the Lagunitas "IPA" family of trademarks. Lagunitas is unique among many of its competitors in that the Company's founder, Tony Magee, still designs the beer labels and strives to instill personality into each of the beer recipes and the corresponding labels and packaging the Company makes. As a result of the efforts of Lagunitas over almost 20 years of continuous use, its trademarks have gained an excellent reputation and a high degree of recognition among the beer consuming public.
- 13. Lagunitas is the owner of several United States federal trademark registrations for its family of "IPA" label and packaging designs used in connection with the sale of the Lagunitas IPA, as represented in Figures 3-6 below: U.S. Registration No. 3,401,147 (the "'147 Mark"); U.S. Registration No. 4,457,914 (the "'914 Mark"); U.S. Registration No. 4,457,912 (the "'912 Mark"); and U.S. Registration No. 4,457,906 (the "'906 Mark"). Together, these four trademarks as known as the "Lagunitas Registered 'IPA' Family of Trademarks," and true and correct copies of the registration certificates for each of the four marks are attached hereto as Exhibits A-D.



Figure 3. U.S. Registration No. 3,401,147



Figure 5. U.S. Registration No. 4,457,912



Figure 4. U.S. Registration No. 4,457,914



Figure 6. U.S. Registration No. 4,457,906

14. Lagunitas first introduced the core of this iconic design to the marketplace in 1995 and has invested substantial amounts of time and money in promoting its craft ale products under its iconic Lagunitas "IPA" Family of Trademarks, including two as depicted below:





Figure 7. Lagunitas IPA marketing item Figure 8. Lagunitas IPA marketing item

15. Lagunitas likewise has applied and been approved for federal trademark registrations for these additional "IPA" trademarks used in labeling and promoting the Lagunitas IPA, as represented in Figures 9-10 below: U.S. Serial No. 86136177 (the "177 Application") and U.S. Serial No. 86136181 (the "181 Application"). Together with the Lagunitas Registered "IPA" Family of Trademarks, these six trademarks comprise the "Lagunitas 'IPA' Family of Trademarks." True and correct copies of the Notices of Approval for each of the two approved

marks are attached hereto as Exhibits E-F.

LAGUN



Figure 9. U.S. Serial No. 86136177

Figure 10. U.S. Serial No. 86136181

16. Through long-term, ongoing and substantial use of the large, all-capital, bold, black and centralized "IPA" lettering, the Lagunitas "IPA" Family of Trademarks has become well-known and well-recognized in the craft brew industry as associated with and signifying Lagunitas and the Lagunitas IPA. Lagunitas is well-known for using its distinctive "IPA" lettering in a manner that it is the center and focal point of the overall design. The unique "IPA" lettering used in the Lagunitas "IPA" Family of Trademarks has a distinctive serif font, distinctive kerning (or letter spacing), between the "P" and the "A", slightly aged or weathered look, with uneven areas on each of the letters, and the elimination of any periods between the letters. These elements together are unique to the iconic design of the Lagunitas IPA. The overall effect of these factors (the all-capital, large, bold, black serif lettering style, and the placement with respect to other wording and design elements) creates a unique, iconic design that is associated with and signifies Lagunitas and its associated reputation for excellence in the craft brew industry and among consumers.

### Sierra Nevada's Infringing "Hop Hunter" IPA

17. On information and belief, Sierra Nevada Brewing has its corporate headquarters in Chico, California, maintains a tasting room in Berkeley, California, and is preparing to open a second brewery in Mills River, North Carolina in 2015. On information and belief, Sierra Nevada will produce over 1 million barrels of beer in 2014, generating more than \$250 million in sales, making it the second largest private craft brewery by volume in the United States. On

information and belief, Sierra Nevada distributes beer in all 50 states and across multiple international markets.

- 18. Recently, Lagunitas became aware that Sierra Nevada has plans to release a new India Pale Ale product, "Hop Hunter IPA," using label elements that infringe the Lagunitas "IPA" Family of Trademarks.
- 19. Sierra Nevada proposes to use the following logo designs and packaging in connection with its product:

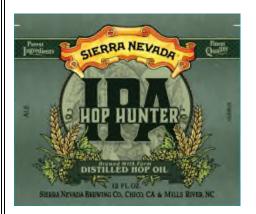




Figure 11. Proposed Sierra Nevada Label

Figure 12. Proposed Sierra Nevada Neck Label

- 20. This proposed design uses all capital, large, bold, black "IPA" lettering in a font selection that is remarkably similar to the iconic Lagunitas design and, indeed, is the central and most prominent feature of the new Sierra Nevada design, emulating the iconic Lagunitas Family of IPA Trademarks. This proposed design even uses the kerning between the "P" and the "A" characters that is distinctive to the Lagunitas Family of IPA Trademarks, and in the case of the "neck" label, the proposed Sierra Nevada "IPA" lettering is depicted with the aged or weathered look distinctive to the iconic Lagunitas IPA Family of Trademarks.
- 21. Significantly, Sierra Nevada now appears to be making a radical departure from its traditional label designs which feature "IPA" lettering in much smaller text, usually preceded by another term (i.e., "Fresh Hop," "Extra," "Rye", "Red," etc.) of the same text size and which feature outdoor/nature scenes. Instead, as demonstrated in Figure 13, the proposed Hop Hunter IPA design is strikingly different from its prior imagery used with its India Pale Ales:



Figure 13. Sierra Nevada India Pale Ales

22. These designs also are a radical departure from the design used by Sierra Nevada in registering its "HOP HUNTER" word mark as shown in Figure 14:



Figure 14. Sierra Nevada "Hop Hunter" Statement of Use

- 23. The similarity between Sierra Nevada's prominent all-capital, large, bold, black, centralized and, in key instances, aged or weathered "IPA" lettering in its IPA design and the Lagunitas "IPA" Family of Trademarks will create confusion among consumers as to the origin of the IPA given that both designs are used in connection with craft brew India Pale Ale.
- 24. Indeed, the likelihood of confusion as to origin increases when a consumer views the parties' respective marks from those settings in which a consumer would typically view the

"IPA" lettering – and appears almost ghostlike – when seen in this context.

parties' respective products – at a distance within a bar or sitting on a refrigerated store shelf.

When viewing Sierra Nevada's IPA Logo designs from a distance, particularly the "neck" label,

the consumer will likely not see the "HOP HUNTER" wording that appears in lighter, less

prominent lettering than the "IPA" lettering. As shown in Figure 15, the "HOP HUNTER"

lettering superimposed on the "IPA" lettering on the "neck" label is much less visible than the

Hunter IPA" Six-pack packaging and the Lagunitas "IPA" Six-pack packaging, bearing

(registered in its entirety as shown in Figure 6 above). Sierra Nevada incorporates not only the

prominent large, all-capital, bold, black, centralized "IPA" lettering but that design echoes the

surrounding hops leaf imagery and incorporates a similar color scheme into its faded green

background. The consumer, quickly visiting its local retail outlet's section of India Pale Ales, is

likely to be confused as to the source of the IPA based on the overall imitation of the Lagunitas

There also exists marked similarity between the proposed Sierra Nevada "Hop

1

25.

10

17

18

19 20

21

22

23

24

25

26

Figure 15. Sierra Nevada Six-Pack

"IPA" Six-Pack, as demonstrated in Figures 15-16:



Figure 16. Lagunitas Six-Pack

26. In fact, Lagunitas already has been contacted by its distributors, who, on information and belief, also are distributors of Sierra Nevada, regarding their concern over consumer confusion in the marketplace between the Lagunitas IPA and Sierra Nevada's new Hop

2728

Hunter IPA logo and designs.

27. Just as significant, however, is the likelihood of confusion as to the sponsorship or approval by Lagunitas of the infringing Sierra Nevada Hop Hunter IPA. Sierra Nevada is well-known in the craft brew industry for its collaboration with other brewers. For instance, Sierra Nevada has recently issued a 12-pack variety of beers under the name "Beer Camp" that is the result of its collaboration with twelve other brewers. One such recent example is the "Hoppy Lager" that, on information and belief, is a collaboration between Sierra Nevada and Ballast Point Brewing Company located in San Diego, California. Figure 17 shows the collaboration Hoppy Lager, the proposed Sierra Nevada Hop Hunter IPA, and prior Sierra Nevada IPA offerings:



Figure 17. Comparison of Sierra Nevada Pale Ale, Torpedo IPA, Beer Camp Hoppy Lager, and Proposed Hop Hunter IPA.

- 28. Particularly given this reputation for collaboration with other brewers, and based upon the obvious similarities to the Lagunitas "IPA" Family of Trademarks, there exists a great likelihood that consumers mistakenly will believe that the "Hop Hunter IPA" is a collaboration with Lagunitas, and, thereby, sponsored or approved by Lagunitas.
- 29. This creates a consumer-perceived connection between the two breweries, thus providing Sierra Nevada with a shortcut to consumer acceptance of their India Pale Ale offering.
- 30. In radically departing from Sierra Nevada's historical label designs that feature "IPA" lettering in smaller text, usually preceded by another term and in connection with

1	outdoor/nature scenes, Sierra Nevada will cause confusion in the marketplace with its proposed					
2	Hop Hunter IPA designs. In this regard, Sierra Nevada's contemplated Hop Hunter IPA Designs					
3	will clearly and plainly violate the rights that Lagunitas has developed in its "IPA" Family of					
4	Trademarks.					
5	FIRST CLAIM FOR RELIEF					
6	(Federal Trademark Infringement)					
7	(15 U.S.C. § 1114)					
8	31. Lagunitas incorporates and realleges paragraphs 1 through 30 of this Complaint.					
9	32. Lagunitas owns several federal trademark registrations for its distinctive					
10	Lagunitas IPA label and packaging artwork used in its product design and packaging, i.e., the					
11	Lagunitas Registered "IPA" Family of Trademarks.					
12	33. The proposed Sierra Nevada "Hop Hunter IPA" line of products has infringed or					
13	will infringe the Lagunitas Registered "IPA" Family of Trademarks by using variations of those					
14	logos and artwork in Sierra Nevada's products.					
15	34. Sierra Nevada's use of the infringing logos and artwork is likely to cause					
16	confusion or mistake, or to deceive the consumer as to the affiliation, connection or association					
17	of Sierra Nevada with Lagunitas, or as to the origin, sponsorship, or approval by Lagunitas of					
18	Sierra Nevada's goods, services or commercial activities.					
19	35. Sierra Nevada's use of the infringing logos and artwork enables Sierra Nevada to					
20	benefit unfairly from Lagunitas' reputation and success, thereby giving Sierra Nevada's					
21	infringing products sales and commercial value they would not have otherwise.					
22	36. Prior to Sierra Nevada's first use of the infringing logos and artwork, Sierra					
23	Nevada was aware of Lagunitas' business and had either actual notice and knowledge, or					
24	constructive notice of, the Lagunitas Registered "IPA" Family of Trademarks.					
25	37. Sierra Nevada's unauthorized use of the infringing logos and artwork is likely, if					
26	not certain, to deceive or to cause confusion or mistake among consumers as to the origin,					
27	sponsorship or approval of the Sierra Nevada "Hop Hunter IPA" line of products and/or to cause					
28	confusion or mistake as to any affiliation, connection or association between Lagunitas and					
	11					

1

2

- 4
- 5 6
- 7
- 8 9
- 10 11
- 12
- 13 14
- 15
- 16
- 17 18
- 19

20

21

22

23

24 25

26

27 28

- Nevada's infringement of the Lagunitas Registered "IPA" Family of Trademarks as described herein has been or will be, intentional, willful and without regard to Lagunitas' rights.
- 39. Lagunitas is informed and believes, and on that basis alleges, that Sierra Nevada intends to gain profits by virtue of its infringement of the Lagunitas Registered "IPA" Family of Trademarks.
- 40. Lagunitas will suffer irreparable harm from Sierra Nevada's infringement of the Lagunitas Registered "IPA" Family of Trademarks insofar as Lagunitas' invaluable goodwill will be eroded by Sierra Nevada's continuing infringement. Lagunitas has no adequate remedy at law to compensate it for the loss of business reputation, customers, market position, confusion of potential customers and goodwill flowing from Sierra Nevada's infringing activities. Pursuant to 15 U.S.C. § 1116, Lagunitas is entitled to an injunction against Sierra Nevada's continuing infringement of the Lagunitas Registered "IPA" Family of Trademarks. Unless enjoined, Sierra Nevada will continue its infringing conduct.
- 41. Because Sierra Nevada's actions will be or have been committed with intent to damage Lagunitas and to confuse and deceive the public, Lagunitas is entitled to treble its actual damages or Sierra Nevada's profits, whichever is greater, to an award of costs, and, this being an exceptional case, reasonable attorneys' fees pursuant to 15 U.S.C. § 1117(a) and 1117(b).

### SECOND CLAIM FOR RELIEF

### (Common Law Trademark Infringement)

- 42. Lagunitas incorporates and realleges paragraphs 1 through 41 of this Complaint.
- 43. Lagunitas has prior rights in the Lagunitas Registered "IPA" Family of Trademarks, as well as its unregistered trademarks and those reflected in approved applications, together the Lagunitas "IPA" Family of Trademarks.
- 44. The Sierra Nevada "Hop Hunter IPA" line of products have infringed or will infringe the Lagunitas "IPA" Family of Trademarks by using identical or similar logos and

artwork in Sierra Nevada's products, specifically its Hop Hunter IPA.

- 45. Sierra Nevada's use of its infringing logos and artwork is likely to cause confusion or mistake, or to deceive the consumer as to the affiliation, connection or association of Sierra Nevada with Lagunitas, or as to the origin, sponsorship, or approval by Lagunitas of Sierra Nevada's goods, services or commercial activities.
- 46. Sierra Nevada's use of the infringing logos and artwork enables Sierra Nevada to benefit unfairly from Lagunitas' reputation and success, thereby giving Sierra Nevada's infringing products sales and commercial value they would not have otherwise.
- 47. Prior to Sierra Nevada's first use of the infringing logos and artwork, Sierra Nevada was aware of Lagunitas' business and had either actual notice and knowledge, or constructive notice of the Lagunitas "IPA" Family of Trademarks.
- 48. Sierra Nevada's unauthorized use of the infringing logos and artwork is likely, if not certain, to deceive or to cause confusion or mistake among consumers as to the origin, sponsorship or approval of the Sierra Nevada "Hop Hunter IPA" line of products and/or to cause confusion or mistake as to any affiliation, connection or association between Lagunitas and Sierra Nevada, in violation of 15 U.S.C. § 1114(a). Lagunitas is informed and believes, and on that basis alleges, that Sierra Nevada's infringement of the Lagunitas "IPA" Family of Trademarks as described herein has been and continues to be intentional, willful and without regard to Lagunitas' rights in the Lagunitas "IPA" Family of Trademarks.
- 49. Lagunitas is informed and believes, and on that basis alleges, that Sierra Nevada will gain profits by virtue of its infringement of the Lagunitas "IPA" Family of Trademarks.
- Lagunitas will suffer irreparable harm from Sierra Nevada's infringement of the Lagunitas "IPA" Family of Trademarks insofar as its invaluable goodwill is being eroded by Sierra Nevada's continuing infringement. Lagunitas has no adequate remedy at law to compensate it for the loss of business reputation, customers, market position, confusion of potential customers and goodwill flowing from Sierra Nevada's infringing activities. Lagunitas is entitled to an injunction against Sierra Nevada's infringement of the Lagunitas "IPA" Family of Trademarks. Unless enjoined, Sierra Nevada will continue its infringing conduct.

51. Because Sierra Nevada's actions have been committed with intent to damage Lagunitas and to confuse and deceive the public, Lagunitas is entitled to treble its actual damages or Sierra Nevada's profits, whichever is greater, and to an award of costs and, this being an exceptional case, reasonable attorneys' fees pursuant to 15 U.S.C. § 1117(a) and 1117(b).

1

#### THIRD CLAIM FOR RELIEF

#### (Unfair Business Practices – California Business and Professions Code § 17200, et seq.)

- 52. Lagunitas incorporates and realleges paragraphs 1 through 51 of this Complaint.
- 53. The acts of Sierra Nevada described above constitute unfair competition through unlawful, unfair or fraudulent business practices and/or unfair, deceptive, untrue or misleading advertising, as defined by California Business & Professions Code § 17200, et seq.
- 54. Lagunitas has valid and protectable prior rights in the Lagunitas "IPA" Family of Trademarks. The Lagunitas "IPA" Family of Trademarks do not serve any function other than to identify Lagunitas as the source of its products. The Lagunitas "IPA" Family of Trademarks is inherently distinctive, and, through Lagunitas' long use, have come to be associated solely with Lagunitas as the source of the product(s) on which they are used.
- 55. Sierra Nevada's use of its infringing Hop Hunter IPA logo and design is likely to cause confusion as to the source of Sierra Nevada's products and is likely to cause others to be confused or mistaken into believing that there is a relationship between Sierra Nevada and Lagunitas or that Sierra Nevada's products are affiliated with or sponsored by Lagunitas.
- 56. The above-described acts and practices by Sierra Nevada are likely to mislead or deceive the general public and therefore constitute unfair competition in violation of California Business & Professions Code §§ 17200, et seq.
- The above-described acts constitute trademark infringement under Section 32 of 57. the Lanham Act, 15 U.S.C. § 1114, and are, therefore, unlawful acts in violation of California Business & Professions Code §§ 17200, et seq.
- 58. Sierra Nevada acted willfully and intentionally in designing its infringing trademarks, with full knowledge of Lagunitas' prior rights in the distinctive Lagunitas "IPA"

directors, agents, servants, employees, affiliates, attorneys, and all others acting in privity or in

28

### Case4:15-cv-00153-JSW Document1 Filed01/12/15 Page16 of 17

concert with	them and their parents sub	heidiaries divisions successors and assigns from			
concert with them, and their parents, subsidiaries, divisions, successors and assigns, from directly or indirectly infringing the Lagunitas "IPA" Family of Trademarks, or using any other					
•					
product or pa	product or packaging design or designations similar to or likely to cause confusion with the				
Lagunitas "IP	'A" Family of Trademarks, fi	from passing off Sierra Nevada's products as being			
associated wit	h and/or sponsored or affiliate	ed with Lagunitas, from committing any other unfair			
business prac	tices directed toward obtaini	ing for themselves the business and customers of			
Lagunitas, and	d, from committing any other	unfair business practices directed toward devaluing			
or diminishing	g the brand or business of Lagu	unitas;			
2.	2. Actual damages suffered by Lagunitas as a result of Sierra Nevada's unlawful				
conduct, in ar	n amount to be proven at tria	al, as well as prejudgment interest as authorized by			
law;					
3.	3. Reasonable funds for future corrective advertising;				
4.	4. An accounting of Sierra Nevada's profits pursuant to 15 U.S.C. § 1117;				
5.	5. A judgment trebling any damages award pursuant to 15 U.S.C. § 1117;				
6.	6. Punitive damages pursuant to California Civil Code § 3294;				
7.	7. Restitutionary relief against Sierra Nevada and in favor of Lagunitas, including				
disgorgement	of wrongfully obtained profit	ts and any other appropriate relief;			
8.	8. Costs of suit and reasonable attorneys' fees;				
9.	9. Any other remedy to which Lagunitas may be entitled, including all remedies				
provided for in 15 U.S.C. § 1117, Cal. Bus. & Prof Code §§ 17200, et seq., 17500, et seq., and					
under any oth	er California law.				
DATED:	January 12, 2015	NIXON PEABODY LLP			
		By: <u>/s/ Robert K. Carrol</u> ROBERT K. CARROL			
		BRUCE E. COPELAND			
		SHADY E. JOULANI DEANNA R. KUNZE			
		Attorneys for Plaintiff			
		LAGUNITAS BREWING CO.			

### Case4:15-cv-00153-JSW Document1 Filed01/12/15 Page17 of 17

1	DEMAND FOR JURY TRIAL						
2	Pursuant to Rule 38(b) of the Federal Rules of Civil Procedure, Lagunitas hereby demands						
3	a trial by jury on all issues raised by the Complaint.						
4	D 4 555	12 2017	NAME OF THE PROPERTY DE				
5	DATED:	January 12, 2015	NIXON PEABODY LLP				
6			By: /s/ Robert K. Carrol ROBERT K. CARROL				
7			BRUCE E. COPELAND SHADY E. JOULANI				
8			DEANNA R. KUNZE Attorneys for Plaintiff LAGUNITAS BREWING CO.				
9			LAGUNITAS BREWING CO.				
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							
21							
22							
23							
24							
25							
26							
27							
28			17				
	COMPLAINT AND DEMAND FOR JURY TRIAL						

## Exhibit A

Int. Cl.: 32

Prior U.S. Cls.: 45, 46 and 48

United States Patent and Trademark Office

Reg. No. 3,401,147 Registered Mar. 25, 2008

#### TRADEMARK PRINCIPAL REGISTER



LAGUNITAS BREWING COMPANY (CALIFORNIA CORPORATION)
1280 N. MCDOWELL BLVD
PETALUMA, CA 94954

FOR: ALE, IN CLASS 32 (U.S. CLS. 45, 46 AND 48).

FIRST USE 10-31-2004; IN COMMERCE 10-31-2004.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "IPA INDIA PALE ALE" AND "O.G. 1.059 I.B.U 45.6", APART FROM THE MARK AS SHOWN.

THE COLOR(S) RED, BLACK AND WHITE IS/ARE CLAIMED AS A FEATURE OF THE MARK.

THE MARK CONSISTS OF THE WORD LAGUNITAS IN THE COLOR RED, THE ACRONYM IPA IN THE COLOR BLACK, THE WORDING INDIA PALE ALE IN THE COLOR RED, AND THE DESIGNATIONS O.G. 1.059 I.B.U 45.6 AND THE STYLIZED DOG HEAD WITH THE WORDING DOGGONE GOOD IS THE COLOR BLACK. ALL OF THESE ELEMENTS ARE WITHIN THE BLACK LINED RECTANGLE AND THE BACKGROUND IS WHITE

SER. NO. 77-219,949, FILED 7-1-2007.

LINDA E. BLOHM, EXAMINING ATTORNEY

# Exhibit B

# Anited States of America Multiple States Platent and Arademark Office United States Patent and Trademark Office



Reg. No. 4,457,914

LAGUNITAS BREWING COMPANY (CALIFORNIA CORPORATION)

1280 MCDOWELL BLVD. Registered Dec. 31, 2013 PETALUMA, CA 94954

Int. Cl.: 32

FOR: ALE, IN CLASS 32 (U.S. CLS. 45, 46 AND 48).

**TRADEMARK** 

FIRST USE 9-30-2008; IN COMMERCE 9-30-2008.

PRINCIPAL REGISTER

OWNER OF U.S. REG. NOS. 3,401,146, 3,401,148 AND OTHERS.

THE COLOR(S) BLACK AND RED IS/ARE CLAIMED AS A FEATURE OF THE MARK.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "IPA" OR "INDIA PALE ALE", APART FROM THE MARK AS SHOWN.

THE MARK CONSISTS OF TWO BLACK SQUARES WITH THE WORD "LAGUNITAS" IN RED ON THE TOP LINE AND "IPA" IN BLACK UNDER "LAGUNITAS" AND THE WORDS "INDIA PALE ALE" IN RED BELOW "IPA" AND THE WORDS "A 4-GANG OF SIX 12'S" IN BLACK BELOW "INDIA PALE ALE". THE COLOR WHITE IS INTENDED TO BE TRANS-PARENT OR A BACKGROUND AND ITS NOT INTENDED TO BE A FEATURE OF THE MARK.

THE ENGLISH TRANSLATION OF THE WORD "LAGUNITAS" IN THE MARK IS "LITTLE LAGOON".

SER. NO. 85-847,325, FILED 2-12-2013.

DEBORAH MEINERS, EXAMINING ATTORNEY

Commissioner for Trademarks of the United States Patent and Trademark Office

# **Exhibit C**

# United States of America United States Patent and Trademark Office



Reg. No. 4,457,912

LAGUNITAS BREWING COMPANY (CALIFORNIA CORPORATION)

1280 MCDOWELL BLVD. Registered Dec. 31, 2013 PETALUMA, CA 94954

Int. Cl.: 32

FOR: ALE, IN CLASS 32 (U.S. CLS. 45, 46 AND 48).

**TRADEMARK** 

FIRST USE 9-30-2008; IN COMMERCE 9-30-2008.

PRINCIPAL REGISTER

OWNER OF U.S. REG. NOS. 3,401,146, 3,401,148 AND OTHERS.

THE COLOR(S) BLACK, WHITE AND RED IS/ARE CLAIMED AS A FEATURE OF THE MARK.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "BREWING COMPANY", "PETALUMA, CALIFORNIA", "IPA", "INDIA PALE ALE" AND "ALC. BY VOL. 6.2%", APART FROM THE MARK AS SHOWN.

THE MARK CONSISTS OF THE WORD "LAGUNITAS" IN RED ON THE TOP LINE AND A WHITE DOG WITH A BLACK CIRCLE AROUND HIS RIGHT EYE AND THE WORDS "DOGGONE GOOD." IN BLACK BELOW THE DOGS EAR AND THE PHRASE "SAY... 'LAH-GOO-KNEE-TUSS" IN BLACK AND "IPA" IN BLACK UNDER THE PHRASE AND THE WORDS "INDIA PALE ALE" IN RED BELOW "IPA" AND THE WORDS "THE LAGUNITAS BREWING COMPANY" IN BLACK BELOW THAT AND "PETALUMA, CALIFORNIA" IN BLACK BELOW "LAGUNITAS BREWING COMPANY" AND THE WORDING "ALC. BY VOL. 6.2%" IN BLACK IN THE BOTTOM RIGHT CORNER; ALL INSIDE A WHITE SQUARE THAT HAS TWO BLACK BORDERS.

THE ENGLISH TRANSLATION OF THE WORD "LAGUNITAS" IN THE MARK IS "LITTLE LAGOON".

SER. NO. 85-847,003, FILED 2-12-2013.

DEBORAH MEINERS, EXAMINING ATTORNEY

Commissioner for Trademarks of the

United States Patent and Trademark Office

# Exhibit D

# Anited States of America Mariton States Natout and Arademark Office United States Patent and Trademark Office



Reg. No. 4,457,906

LAGUNITAS BREWING COMPANY (CALIFORNIA CORPORATION)

1280 MCDOWELL BLVD. Registered Dec. 31, 2013 PETALUMA, CA 94954

Int. Cl.: 32

FOR: ALE, IN CLASS 32 (U.S. CLS. 45, 46 AND 48).

**TRADEMARK** 

FIRST USE 9-30-2008; IN COMMERCE 9-30-2008.

PRINCIPAL REGISTER

OWNER OF U.S. REG. NOS. 3,401,146, 3,401,148 AND OTHERS.

THE COLOR(S) GRAY, WHITE, BLACK, GREEN, RED, LIGHT YELLOW AND BROWN IS/ARE CLAIMED AS A FEATURE OF THE MARK.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "BREWING COMPANY", "PETALUMA, CALIFORNIA", "IPA" AND " INDIA PALE ALE", APART FROM THE MARK AS SHOWN.

THE MARK CONSISTS OF A BLACK SQUARE WITH A GREEN SQUARE SUPERIMPOSED ON THE BLACK SQUARE AND A RED SQUARE SUPERIMPOSED WITHIN THE GREEN AND BLACK SQUARES AND GREEN VINES AT ALL FOUR CORNERS OF THE RED SQUARE AND THE WORD "LAGUNITAS" IN RED AND TO THE RIGHT IS A WHITE, BLACK AND BROWN DOG WITH A BROWN AND GRAY COLLAR WITH A LEFT BROWN EAR AND A RIGHT WHITE EAR AND A BLACK CIRCLE AROUND THE DOG'S RIGHT EYE AND THE WORDS "SAY "LAH-GOO-KNEE-TUSS"" IN BLACK UNDERNEATH THE WORD "LAGUNITAS" AND THE WORDING "IPA" IN BLACK LETTERS BELOW THAT AND THE WORDS "INDIA PALE ALE" IN RED BELOW "IPA" AND THE WORDS "THE LAGUNITAS BREWING COMPANY" IN BLACK BELOW THAT AND THE WORDS "PETALUMA, CALIFORNIA" IN BLACK BELOW THAT; ALL ON A LIGHT YELLOW BACKGROUND.

THE ENGLISH TRANSLATION OF THE WORD "LAGUNITAS" IN THE MARK IS "LITTLE LAGOON".

Commissioner for Trademarks of the

SER. NO. 85-844,352, FILED 2-8-2013.

United States Patent and Trademark Office

DEBORAH MEINERS, EXAMINING ATTORNEY

# **Exhibit E**

From: TMOfficialNotices@USPTO.GOV
Sent: Tuesday, May 27, 2014 00:12 AM
The Spirite Philippen and before the sent to the sent t

To: chitm@nixonpeabody.com

Subject: Official USPTO Notice of Allowance: U.S. Trademark SN 86136177: LAGUNITAS IPA (Stylized/Design):

Docket/Reference No. 031418-64US

#### NOTICE OF ALLOWANCE (NOA)

ISSUE DATE: May 27, 2014

**Serial Number:** 86136177

Mark: LAGUNITAS IPA (Stylized/Design)
Docket/Reference Number: 031418-64US

No opposition was filed for this published application. The issue date of this NOA establishes the due date for the filing of a Statement of Use (SOU) or a Request for Extension of Time to file a Statement of Use (Extension Request). WARNING: An SOU that meets all legal requirements must be filed before a registration certificate can issue. Please read below for important information regarding the applicant's pending six (6) month deadline.

#### SIX (6)-MONTH DEADLINE: Applicant has six (6) MONTHS from the NOA issue date to file either:

- An SOU, if the applicant is using the mark in commerce (required even if the applicant was using the mark at the time of filing the application, if use basis was not specified originally); **OR**
- An Extension Request, if the applicant is not yet using the mark in commerce. If an Extension Request is filed, a new request must be filed every six (6) months until the SOU is filed. The applicant may file a total of five (5) extension requests. **WARNING:** An SOU may **not** be filed more than thirty-six (36) months from when the NOA issued. The deadline for filing is always calculated from the issue date of the NOA.

#### How to file SOU and/or Extension Request:

Use the Trademark Electronic Application System (TEAS). Do **NOT** reply to this e-mail, as e-mailed filings will **NOT** be processed. Both the SOU and Extension Request have many legal requirements, including fees and verified statements; therefore, please use the USPTO forms available online at <a href="http://www.uspto.gov/teas/index.html">http://www.uspto.gov/teas/index.html</a> (under the "INTENT-TO-USE (ITU) FORMS" category) to avoid the possible omission of required information. If you have questions about this notice, please contact the Trademark Assistance Center at 1-800-786-9199.

For information on how to (1) divide an application; (2) delete goods/services (or entire class) with a Section 1(b) basis; or (3) change filing basis, see <a href="http://www.uspto.gov/trademarks/basics/MoreInfo\_SOU\_EXT.jsp">http://www.uspto.gov/trademarks/basics/MoreInfo\_SOU\_EXT.jsp</a>.

### FAILURE TO FILE A REQUIRED DOCUMENT OUTLINED ABOVE DURING THE APPROPRIATE TIME PERIOD <u>WILL</u> RESULT IN THE ABANDONMENT OF THIS APPLICATION.

#### REVIEW APPLICATION INFORMATION FOR ACCURACY

If you believe this NOA should not have issued or correction of the information shown below is needed, you must submit a request to the Intent-to-Use Unit. Please use the "Post-Publication Amendment" form under the "POST-PUBLICATION/POST NOTICE OF ALLOWANCE (NOA) FORMS" category, available at <a href="http://www.uspto.gov/teas/index.html">http://www.uspto.gov/teas/index.html</a>. Do **NOT** reply to this e-mail, as e-mailed filings will NOT be processed.

Serial Number: 86136177

Mark: LAGUNITAS IPA (Stylized/Design)

Docket/Reference Number: 031418-64US

Owner: Lagunitas Brewing Company

1280 McDowell Blvd.

Petaluma, CALIFORNIA 94954

Correspondence Address: JANET M. GARETTO

NIXON PEABODY LLP

#### Case4:15-cv-00153-JSW Document1-5 Filed01/12/15 Page3 of 3

300 S RIVERSIDE PLZ FL 16 CHICAGO, IL 60606-6613

#### This application has the following bases, but not necessarily for all listed goods/services:

Section 1(a): NO Section 1(b): YES Section 44(e): NO

#### **GOODS/SERVICES BY INTERNATIONAL CLASS**

032 - Ale -- FIRST USE DATE: NONE; -- USE IN COMMERCE DATE: NONE

#### ALL OF THE GOODS/SERVICES IN EACH CLASS ARE LISTED.

**Fraudulent statements may result in registration being cancelled:** Applicants must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of any issued trademark registration. The lack of a bona fide intention to use the mark with ALL goods and/or services listed in an application or the lack of actual use on all goods and/or services for which use is claimed could jeopardize the validity of the registration, possibly resulting in its cancellation.

**Additional information:** For information on filing and maintenance requirements for U.S. trademark applications and registrations and required fees, please consult the USPTO website at <a href="www.uspto.gov">www.uspto.gov</a> or call the Trademark Assistance Center at 1-800-786-9199.

**Checking status:** To check the status of an application, go to <a href="http://tarr.uspto.gov">http://tarr.uspto.gov</a>. Please check the status of any application at least every three (3) months after the application filing date.

To view this notice and other documents for this application on-line, go to <a href="http://tdr.uspto.gov/search.action?sn=86136177">http://tdr.uspto.gov/search.action?sn=86136177</a>. NOTE: This notice will only be available on-line the next business day after receipt of this e-mail.

# **Exhibit F**

From: TMOfficialNotices@USPTO.GOV
Sent: Tuesday, May 27, 2014 00:12 AM
The Spirite Philippen and before the sent to the sent t

To: chitm@nixonpeabody.com

Subject: Official USPTO Notice of Allowance: U.S. Trademark SN 86136181: LAGUNITAS IPA INDIA PALE ALE

(Stylized/Design): Docket/Reference No. 031418-63US

#### NOTICE OF ALLOWANCE (NOA)

ISSUE DATE: May 27, 2014

**Serial Number: 86136181** 

Mark: LAGUNITAS IPA INDIA PALE ALE (Stylized/Design)

Docket/Reference Number: 031418-63US

No opposition was filed for this published application. The issue date of this NOA establishes the due date for the filing of a Statement of Use (SOU) or a Request for Extension of Time to file a Statement of Use (Extension Request). WARNING: An SOU that meets all legal requirements must be filed before a registration certificate can issue. Please read below for important information regarding the applicant's pending six (6) month deadline.

#### SIX (6)-MONTH DEADLINE: Applicant has six (6) MONTHS from the NOA issue date to file either:

- An SOU, if the applicant is using the mark in commerce (required even if the applicant was using the mark at the time of filing the application, if use basis was not specified originally); **OR**
- An Extension Request, if the applicant is not yet using the mark in commerce. If an Extension Request is filed, a new request must be filed every six (6) months until the SOU is filed. The applicant may file a total of five (5) extension requests. **WARNING:** An SOU may **not** be filed more than thirty-six (36) months from when the NOA issued. The deadline for filing is always calculated from the issue date of the NOA.

#### How to file SOU and/or Extension Request:

Use the Trademark Electronic Application System (TEAS). Do **NOT** reply to this e-mail, as e-mailed filings will **NOT** be processed. Both the SOU and Extension Request have many legal requirements, including fees and verified statements; therefore, please use the USPTO forms available online at <a href="http://www.uspto.gov/teas/index.html">http://www.uspto.gov/teas/index.html</a> (under the "INTENT-TO-USE (ITU) FORMS" category) to avoid the possible omission of required information. If you have questions about this notice, please contact the Trademark Assistance Center at 1-800-786-9199.

For information on how to (1) divide an application; (2) delete goods/services (or entire class) with a Section 1(b) basis; or (3) change filing basis, see <a href="http://www.uspto.gov/trademarks/basics/MoreInfo\_SOU\_EXT.jsp">http://www.uspto.gov/trademarks/basics/MoreInfo\_SOU\_EXT.jsp</a>.

## FAILURE TO FILE A REQUIRED DOCUMENT OUTLINED ABOVE DURING THE APPROPRIATE TIME PERIOD <u>WILL</u> RESULT IN THE ABANDONMENT OF THIS APPLICATION.

#### REVIEW APPLICATION INFORMATION FOR ACCURACY

If you believe this NOA should not have issued or correction of the information shown below is needed, you must submit a request to the Intent-to-Use Unit. Please use the "Post-Publication Amendment" form under the "POST-PUBLICATION/POST NOTICE OF ALLOWANCE (NOA) FORMS" category, available at <a href="http://www.uspto.gov/teas/index.html">http://www.uspto.gov/teas/index.html</a>. Do **NOT** reply to this e-mail, as e-mailed filings will NOT be processed.

Serial Number: 86136181

Mark: LAGUNITAS IPA INDIA PALE ALE (Stylized/Design)

Docket/Reference Number: 031418-63US

Owner: Lagunitas Brewing Company

1280 McDowell Blvd.

Petaluma, CALIFORNIA 94954

Correspondence Address: JANET M. GARETTO

NIXON PEABODY LLP

#### Case4:15-cv-00153-JSW Document1-6 Filed01/12/15 Page3 of 3

300 S RIVERSIDE PLZ FL 16 CHICAGO, IL 60606-6613

#### This application has the following bases, but not necessarily for all listed goods/services:

Section 1(a): NO Section 1(b): YES Section 44(e): NO

#### **GOODS/SERVICES BY INTERNATIONAL CLASS**

032 - Ale -- FIRST USE DATE: NONE; -- USE IN COMMERCE DATE: NONE

#### ALL OF THE GOODS/SERVICES IN EACH CLASS ARE LISTED.

**Fraudulent statements may result in registration being cancelled:** Applicants must ensure that statements made in filings to the USPTO are accurate, as inaccuracies may result in the cancellation of any issued trademark registration. The lack of a bona fide intention to use the mark with ALL goods and/or services listed in an application or the lack of actual use on all goods and/or services for which use is claimed could jeopardize the validity of the registration, possibly resulting in its cancellation.

**Additional information:** For information on filing and maintenance requirements for U.S. trademark applications and registrations and required fees, please consult the USPTO website at <a href="www.uspto.gov">www.uspto.gov</a> or call the Trademark Assistance Center at 1-800-786-9199.

**Checking status:** To check the status of an application, go to <a href="http://tarr.uspto.gov">http://tarr.uspto.gov</a>. Please check the status of any application at least every three (3) months after the application filing date.

To view this notice and other documents for this application on-line, go to <a href="http://tdr.uspto.gov/search.action?sn=86136181">http://tdr.uspto.gov/search.action?sn=86136181</a>. NOTE: This notice will only be available on-line the next business day after receipt of this e-mail.

JS 44 (Rev. 12/12) Cand rev (1/15/13)

January 12, 2015

## Case4:15-cv-00153 CFWL DOGWERTS THE HOUSE Page 1 of 1

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

purpose of initiating the civil do	purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)									
I. (a) PLAINTIFFS	. (a) PLAINTIFFS			DEFENDANTS	DEFENDANTS					
THE LAGUNITAS BREWING CO.				SIERRA NEVADA BREWING CO.						
(b) County of Residence of First Listed Plaintiff Sonoma County (EXCEPT IN U.S. PLAINTIFF CASES)  (c) Attorneys (Firm Name, Address, and Telephone Number) Bruce E. Copeland Nixon Peabody LLP One Embarcadero Center, 18 <sup>th</sup> Floor				County of Residence of First Listed Defendant  (IN U.S. PLAINTIFF CASES ONLY)  NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.  Attorneys (If Known)					ON OF	
San Francisco, CA Tel: 415-984-8200										
II. BASIS OF JURISD	ICTION (Place an "X" in	One Box Only)	III. CI	TIZENSHIP OF PRI	NCIPAL :	PARTIES (Place				
U.S. Government Plaintiff			C	(For Diversity Cases Only) and One Box for Defendant)  PTF DEF  Citizen of This State $1$ Incorporated or Principal Place $4$ $4$ of Business In This State						
2 U.S. Government Defendant	4 Diversity (Indicate Citizenship o	4 Diversity (Indicate Citizenship of Parties in Item III)		Citizen of Another State 2 1 Incorporated and Principal Place of Business In Another State 5 15						
W. MATEURE OF GUYA			C	itizen or Subject of a Foreign Country	3 🔲 3	Foreign Nation		□ 6	<b>□</b> 6	
IV. NATURE OF SUIT		nly) RTS		FORFEITURE/PENALTY	RANI	KRUPTCY	OTHED	STATUTI	ES.	
□ 110 Insurance □ 120 Marine □ 130 Miller Act □ 140 Negotiable Instrument □ 150 Recovery of Overpayment & Enforcement of Judgment □ 151 Medicare Act □ 152 Recovery of Defaulted Student Loans (Excludes Veterans) □ 153 Recovery of Overpayment of Veteran's Benefits □ 160 Stockholders' Suits □ 190 Other Contract □ 195 Contract Product Liability □ 196 Franchise    REAL PROPERTY □ 210 Land Condemnation □ 220 Foreclosure □ 230 Rent Lease & Ejectment □ 240 Torts to Land □ 245 Tort Product Liability □ 290 All Other Real Property	PERSONAL INJURY    310 Airplane     315 Airplane Product Liability     320 Assault, Libel & Slander     330 Federal Employers' Liability     340 Marine     345 Marine Product Liability     350 Motor Vehicle     355 Motor Vehicle     350 Motor Vehicle     360 Other Personal Injury     362 Personal Injury     Medical Malpractice     440 Other Civil Rights     441 Voting     442 Employment     443 Housing/ Accommodations     445 Amer. w/Disabilities     Employment     446 Amer. w/Disabilities Other     448 Education	PERSONAL INJ  365 Personal Injur Product Liabir  367 Health Care/ Pharmaceutics Personal Injur Product Liabir  368 Asbestos Pers Injury Product Liability PERSONAL PROI  370 Other Fraud  371 Truth in Lend  380 Other Persona Property Dam Product Liabir  PRISONER PETIT Habeas Corpus:  463 Alien Detaine  510 Motions to Var Sentence  530 General  535 Death Penalty Other:	PERTY Dility Donal ct Description of the Control of	CARD   CARD	□ 422 Apper □ 423 Withd 28 US  PROPER □ 820 Copyr □ 830 Patent X 840 Trade:  SOCIAL: □ 861 HIA ( □ 862 Black □ 863 DIWC □ 864 SSID □ 865 RSI (4  FEDERA □ 870 Taxes or De □ 871 IRS— 26 US	al 28 USC 158 Irawal SC 157  TY RIGHTS ights imark  SECURITY 1395ff) Lung (923) 2/DIWW (405(g)) Title XVI 105(g))  L TAX SUITS (U.S. Plaintiff fendant)	□ 375 False C □ 400 State Ro □ 410 Antitrus □ 430 Banks a □ 450 Comme □ 460 Deporta □ 470 Rackete Corrupt □ 480 Consun □ 490 Cable/S □ 850 Securiti Exchan □ 890 Other S □ 891 Agricul □ 893 Environ □ 895 Freedor □ 896 Arbitrat □ 899 Admini Act/Rev	laims Act eapportions at and Bankin ree tition ere Influenc Organizat ere Credit at TV es/Commo ga tututory Ac tural Acts amental Ma n of Inform ion strative Pro riew or Ap Decision utionality or	ment g ced and ions dities/ ctions atters nation occedure peal of	
V. ORIGIN (Place an "X" in One Box Only)  X 1 Original										
VII. REQUESTED IN COMPLAINT:	CHECK IF THIS IS UNDER RULE 23,	A CLASS ACTIO		DEMAND \$	CI	HECK YES only i			nt:	
VIII. RELATED CASI IF ANY	VIII. RELATED CASE(S) IF ANY  JUDGE  DOCKET NUMBER									
IX. DIVISIONAL ASSIGNMENT (Civil L.R. 3-2)										
(Place an "X" in One Box On	ly)	SIGNATURE OF AT		RANCISCO/OAKLAN OF RECORD	<b>ND</b> ()	SAN JOSE	() EUF	REKA		

/s/ Robert K. Carrol